



10/821,627

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carlos A. Barrios et al.

Examiner: Unknown

Serial No.: 10/821,627

Group Art Unit: 2872

Filed: April 9, 2004

Docket: 1153.087US1

Customer No.: 21186

Confirmation No.: 8932

Title: ELECTRO-OPTIC MODULATOR ON RIB WAVEGUIDE

COMMUNICATION RE: MISSING PARTS

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the Combined Declaration and Power of Attorney, a check in the amount of \$65.00 to cover the Small entity surcharge, and a check in the amount of \$725.00 to cover the small entity basic filing fee and additional claims fee.

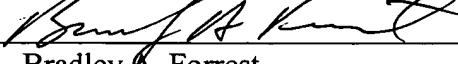
Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6972.

If necessary, please charge any additional fees or credit overpayment to Deposit Account 19-0743.

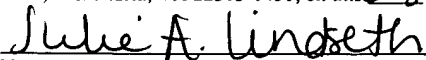
Respectfully submitted,  
Carlos A. Barrios et al.

By their Representatives,  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6972

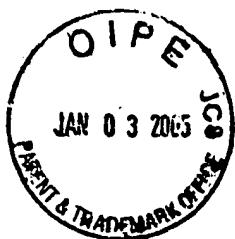
Date 12-21-2004

By   
Bradley A. Forrest  
Reg. No. 30,837  
BAF:CMG:jal

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 28 day of December, 2004

  
Name

  
Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carlos A. Barrios et al.

Title: ELECTRO-OPTIC MODULATOR ON RIB WAVEGUIDE

Docket No.: 1153.087US1

Serial No.: 10/821,627

Filed: April 9, 2004

Due Date: December 28, 2004

Examiner: Unknown

Group Art Unit: 2872

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the small entity surcharge.
- ☒ A check in the amount of \$725.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ A check in the amount of \$795.00 to cover the Extension of Time Fee.
- ☒ Petition for Extension of Time (1 pg.).
- ☒ Communication Re: Missing Parts (1 pg.).
- ☒ A signed Combined Declaration and Power of Attorney (9 pgs.).
- ☒ Notice to File Missing Parts (2 pgs.).

**Applicant claims small entity status under 37 C.F.R. 1.27.**

**If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.**

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number: 21186

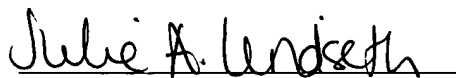
By: 

Name: Bradley A. Forrest

Reg. No. 30,837

BAF:CMG:jal

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Name

  
Signature



(FW) ✓

## UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office  
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www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/821,627	04/09/2004	Carlos Angulo Barrios	1153.087US1

21186  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

CONFIRMATION NO. 8932

## FORMALITIES LETTER



\*OC00000013083456\*

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/04/2005 WASFAW1 00000020 10821627

01 FC:2254

795.00 OP

FILED UNDER 37 CFR 1.53(b) 01/04/2005 WASFAW1 00000020 10821627

02 FC:2001	395.00 OP
03 FC:2051	65.00 OP
04 FC:2201	200.00 OP
05 FC:2202	25.00 OP

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$95 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Repln. Ref: 01/04/2005 WASFAW1 0018030200  
DAH:190743 Name/Number:10821627  
FC: 9204 \$105.00 CR

Total additional fee(s) required for this application is **\$545** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$95**

PORTFOLIO I.P.

JUL 01 2004

RECEIVED

- \$86 for 2 independent claims over 3.
- \$9 for 1 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY